

CARL J. KUNASEK
CHAIRMAN

JIM IRVIN
COMMISSIONER

WILLIAM A. MUNDELL
COMMISSIONER



RECEIVED

ARIZONA CORPORATION COMMISSION

1999 DEC -2 A 10:55

AZ CORP COMMISSION
DOCUMENT CONTROL



0000044292

SECURITIES DIVISION
1300 West Washington, Third Floor
Phoenix, AZ 85007-2996
TELEPHONE: (602) 542-4242
FAX: (602) 594-7470
E-MAIL: accsec@ccsd.cc.state.az.us

NEW

MEMORANDUM

TO: Sally D'Antimo
Docket Control

FROM: Sharleen A. Day
Securities Division

DATE: December 2, 1999

RE: Docket number for proposed Article 2 of the Securities Act

Please issue a docket number for a proposed rulemaking ("article 2"). The proposed article 2 sets forth the conditions for limited registration of Canadian dealers and salesmen in Arizona.

The distribution list for this docket should include:

Mark Sendrow, Director Securities Division

Cheryl T. Farson, General Counsel Securities Division

Bob Zumoff, Assistant Attorney General

Sharleen Day, Associate General Counsel

Thank you. If you need additional information, please do not hesitate to telephone me at 602 542-0679.